

# LOCKING MECHANISM FOR A FOLDING KNIFE

## Technical Field

The present disclosure relates generally to a folding knife, and particularly to a  
5 folding knife with a locking mechanism that locks the blade in an open position.

## Background

Folding knives enjoy wide popularity, particularly among sportsmen, campers, hikers,  
and many others engaged in outdoor activities. Common elements to folding knives include a  
handle and a blade pivotally connected to an end of the handle so that the blade pivots with  
10 respect to the handle between an open position in which the blade is extended away from the  
handle and a closed position in which the blade is at least partially received within the  
handle. Many folding knives also include a locking mechanism to maintain the blade in an  
open position.

Examples of folding knives, including folding knives with locking mechanisms, may  
15 be found in U.S. Patent Nos. 1,454,665; 1,743,022; 4,040,081; 4,404,748; 4,451,982;  
4,502,221; 4,719,700; 4,805,303; 4,811,486; 4,837,932; 4,893,409; 4,974,323; 4,979,301;  
5,044,079; 5,060,379; 5,095,624; 5,111,581; 5,293,690; 5,325,588; 5,331,741; 5,425,175;  
5,502,895; 5,515,610; 5,537,750; 5,615,484; 5,685,079; 5,689,885; 5,692,304; 5,737,841;  
5,755,035; 5,802,722; 5,822,866; 5,826,340; 5,887,347; 5,964,036; 6,079,106; 6,154,965;  
20 6,338,431; 6,378,214; 6,427,335; and 6,438,848; and U.S. Patent Application Nos.  
2002/0157260 and 2003/0070299, the entire disclosures of which are herein incorporated by  
reference for all purposes.

A simple mechanism for locking and unlocking the blade of a folding knife,  
particularly one that may be operated with a single thumb-actuated motion while the user's

hand is holding the knife, may enhance the utility of the knife. That mechanism may be of further utility if it also may be used for one-handed opening and/or closing of the blade.

### Summary

A folding knife with a locking mechanism, and a method of assembly of the locking mechanism are described. In one embodiment, a locking mechanism is formed from a second locking element slidably mounted on the blade to engage at least part of a first locking element on the handle and lock the blade in the open position. The second locking element and blade may be configured to allow simple, one-handed locking and unlocking of the blade with a single thumb-actuated motion. The second locking element also may be configured to allow one-handed opening and/or closing of the blade.

### Brief Description of the Drawings

Fig. 1 is an isometric view of a folding knife incorporating a locking mechanism.

Fig. 2 is a front plan view of the knife of Fig. 1, showing the knife blade stored within a blade-receiving channel in the handle.

Fig. 3 is a side view of the folding knife of Fig. 1, showing pivoting of the blade between open and closed positions.

Fig. 4 is an isometric view showing the thumb of a user's hand positioned for opening and locking the blade of the knife of Fig. 1.

Fig. 5 is an isometric view showing a user's hand positioned for unlocking and closing the blade of the knife of Fig. 1.

Fig. 6 is an enlarged, fragmentary side view of a knife incorporating the blade locking mechanism of Fig. 1.

Fig. 7 is a partially sectioned top view of the folding knife taken along line 7—7 shown in Fig. 5.

Fig. 8 is an exploded isometric view of a retaining element, a bias element, and an expander as may be used in the embodiment of Fig. 1.

5 Fig. 9 is an isometric view of the retaining element of Fig. 8 showing the bias element and the expander received in the retaining element.

Fig. 10 is a flowchart of a method for assembling a locking mechanism.

Fig. 11 is a flowchart that provides additional detail of the method illustrated in Fig. 10.

## 10 Detailed Description

Figs. 1-3 depict an embodiment of a folding knife 20 having a blade 22, a handle 24 defining a blade-receiving channel 26, and a locking mechanism 28. Blade 22 includes a tang 22a pivotally connected to an end 24a of handle 24. The blade pivots with respect to the handle about a pivot axis P between an open position O and a closed position C. In the open position, the blade is extended away from the handle so that it is deployed and ready for use. From the open position, the blade may be folded towards the handle into the closed position, in which the blade may be at least partially received for storage within blade-receiving channel 26 defined in the handle. In the closed position, blade 22 extends along handle 24.

Locking mechanism 28 may include a first locking element 24b and a second locking element 30. First locking element 24b may include any structure configured to engage second locking element 30 and lock blade 22 in the open position. For example, as shown in Figs. 1 and 3, the first locking element may be formed from an end face 24c and/or an exposed exterior edge surface 24d of handle end 24a. Alternatively, or additionally, at least

part of the first locking element may be attached to that end face and/or that edge surface on one or both sides of handle 24. First locking element 24**h** may include an angled end portion 24**e**, a locking portion 24**g**, and a corner 24**f** separating those two portions. Locking portion 24**g** may include a latching corner 24**h**, and/or a notched corner 24**i** configured to receive  
5 second locking element 30. Although the exemplary first locking element 24**h** is discussed as including a latching corner and/or notched corner formed on the handle end, virtually any other suitable structure configured to interact with at least part of second locking element 30 to selectively lock blade 22 in the open position may be used, such as latching elements, locking cutouts, holes, notches, or mechanical, magnetic, or electronic devices, or the like.

10 Second locking element 30 may include any structure configured to lock blade 22 in the open position. The second locking element also may be configured to open and/or close the blade. For example, as shown in Figs. 1 and 3, the second locking element may include a post 32. The post may extend transversely from a flat surface 22**h** of blade 22 and may be positioned near blade tang 22**a**. Post 32 also may be spaced from pivot axis P so that the post  
15 is exposed during the rotation of blade 22 between the open and closed positions. Post 32 may be mounted for sliding movement in a slot 34 defined through blade 22 so that the post slides along the surface of the blade. Post 32 may be slidable in slot 34 between a first or locking position L at one end of the slot and a second or retracted position R at the other end of the slot, the locking position being spaced further from a blade point 22**c** compared to the  
20 retracted position.

Fig. 3 shows the interaction of post 32 with end face 24**c** as the blade is pivoted with respect to the handle, including the locking of the blade in open position O. As blade 22 is rotated from the closed position towards the open position, post 32 may remain spaced apart

from end face 24c until it engages angled end portion 24e at an engagement position 24j. The engagement position may be varied by varying the shape of angled end portion 24e. For example, the angled end portion may be configured such that post 32 does not engage handle end 24a until the blade is at least approximately 75% towards the open position from the closed position. Other configurations for angled end portion 24e are possible and may be used.

With further rotation of blade 22, post 32 may pass around corner 24h and into locking portion 24g of handle end 24a. While post 32 is maintained in locking position L, locking portion 24g of handle end 24a may block the post and thus prevents blade 22 from being pivoted towards closed position C. The interaction between post 32 and handle end 24a may provide for a smooth opening of blade 22, while still providing feedback to the user that blade 22 has been locked in the open position by movement of post 32 into the locking position.

To unlock blade 22, post 32 may be pushed towards retracted position R to disengage the post from locking portion 24g of handle end 24a. Once post 32 and locking portion 24g are disengaged, handle end 24a no longer blocks the post, and blade 22 may be pivoted towards the closed position.

Fig. 4 depicts the folding knife of Figs. 1-3 being opened by a user's hand. As will be appreciated from this and the preceding figures, post 32 may be positioned on blade 22 so that it is exposed for manipulation by a user throughout the entire range of the blade's pivotal travel. Because post 32 may extend transversely from the blade and may be spaced from pivot axis P, an external force parallel to the plane of the blade may be exerted upon the post to cause the blade to pivot with respect to the handle.

Thumb 40 may exert an opening force  $F_o$  on post 32 to cause blade 22 to pivot towards the open position. As indicated, the position of the post may allow the blade to be easily opened with one hand with a simple thumb-actuated motion. Additionally, the depicted knife may be provided with an actuating bias element operatively connecting the handle to the blade, such as described in U.S. Patent No. 6,378,214, to further facilitate opening and/or closing of the blade.

As shown in Fig. 5, a similar motion may be used to unlock blade 22 and rotate the blade from the open position into the closed position. Thumb 40 is shown to exert a closing/unlocking force  $F_c$  upon post 32 to move the post toward retracted position R sufficiently to disengage the post from locking portion 24g of handle end 24a, allowing the blade to be rotated towards the closed position.

As shown in Figs. 6 and 7, post 32 may include a neck or pin 32a. Post 32 also may include one or more retainers 44 that may retain pin 32a in slot 34, provide a bearing surface by a user, and/or act as roller bearings. During opening, retainers 44 may roll as they bear against handle end 24a from engagement position 24j through corner 24f and into locking portion 24g, thereby preventing any scratching or wearing of the handle end, and improving the smoothness of the locking mechanism. This same benefit may be operative during blade closing, except that the order in which portions of handle end 24a may be encountered by retainers 44 would be reversed.

Retainers 44 and pin 32a may collectively define a stacked-disk shape where the retainers extend co-axially on the ends of the pin, as shown in Fig. 7. A post having that shape has been found to be easily engaged by the thumb of a hand, without the thumb rolling off the retainers. Retainers 44 may include enlarged knobs 46 and/or enlarged ends 48 that

may be attached to or integral with pin 32a. For example, the retainers may be pressed, swaged, or welded, and/or the reduced diameter neck region of pin 32a may be machined from larger stock. The pin and retainers may roll together, or may be rotatably mounted on the pin so that the retainers may roll around the pin.

5           Slot 34 may include a wide or first portion 34a and a narrow or second portion 34b, as shown in Fig. 6. First portion 34a may be configured to receive at least one of the retainers 44. Second portion 34b may be sized larger in width than the diameter of pin 32a of post 32 to accommodate that pin, but smaller in width than the diameter of retainers 44 to prevent passage of those retainers laterally. Thus, post 32 may be slidably located in slot 34 by  
10   inserting one of the retainers 44 into first portion 34a and then sliding pin 32a through second portion 34b towards a slot end 34c.

          Locking mechanism 28 also may include a retaining element 38 configured to prevent movement of pin 32a in slot 34 from second portion 34b into first portion 34a of the slot. As shown in Figs. 8 and 9, retaining element 38 may include a rounded portion 38a and an  
15   elongate portion 38b. The rounded portion may be configured to fit in first portion 34a of slot 34, such as by friction fit. The elongate portion may be configured to fit in at least part of second portion 34b of slot 34 adjacent to portion 34a. Elongate portion 38b may be square, rectangular, or any suitable shape in cross section. Although the exemplary retaining element is shown to include elongate and rounded portions, virtually any suitable shape or  
20   configuration adapted to prevent pin 32a from entering first portion 34a of slot 34 may be used.

          Rounded portion 38a of retaining element 38 may include a hole 54, which may be configured to receive expander 50 and expand retaining element 38. Hole 54 in rounded

portion 38a may go completely through the rounded portion from one side to the other, or may only partially go through that rounded portion. An expander 50 may be inserted into a hole 54 in retaining element 38 thereby expanding that retaining element, increasing the pressure between the retaining element and the blade surface forming the slot, and/or better  
5 securing it in slot 34. Expander 50 may include a ball bearing 52, a rounded pin, and/or any other suitable expander configured to secure the retaining element in slot 34. Elongate portion 38b may include a recess 56 configured to receive a bias element, as discussed below.

Furthermore, the locking mechanism may include a bias element 36 configured to  
10 urge pin 32a of post 32 towards end face 24c of handle end 24a. The bias element may be configured to urge post 32 toward locking position L. Thus, a user may push post 32 against bias element 36 to move the post into retracted position R.

Bias element 36 may include a first end 36a and a second end 36b. Bias element 36 may be positioned in slot 34 and secured between blade 22 and pin 32a of post 32 to urge the  
15 post along the slot towards slot end 34c into the locking position. First end 36a of bias element 36 may abut pin 32a of post 32, while second end 36b may abut retaining element 38. First end 36a may be trapped between retainers 44, between enlarged knobs 46, or between enlarged ends 48 provided on post 32, or may simply bear against the pin. Second end 36b may be received in a recess 56 of elongate portion 38b, or may simply bear against  
20 that elongate portion. Although bias element 36 is depicted in Figs. 6-9 as a coiled spring, it may be of any other suitable type of bias element configured to urge the post towards the end face of the handle end, such as wire springs, leaf springs, or other resilient material or structure.



Although the exemplary second locking element 30 discussed includes a post, virtually any other suitable structures, such as latches or hooks, or mechanical, magnetic, or electronic devices, or the like, configured to engage at least part of first locking element 24b and selectively lock blade 22 in the open position may be used.

5            Fig. 10 provides a flow chart of a method for assembling a locking mechanism, such as locking mechanism 28, as described above. At 110, the post may be inserted into the first portion of the slot so that each of retainers 44 jut from either side of blade 22. At 120, the post may be slid within the slot along its elongate portion to slot end 34c. At 130, the retaining element and the bias element may be inserted into the slot. That insertion may be  
10 performed by concurrently inserting both elements, or sequentially inserting either element first. At 140, an expander may be inserted into the retaining element.

            Fig. 11 provides additional detail to portions of flowchart 100 in Fig. 10 in a further and optional example of a method for assembling a locking mechanism, such as locking mechanism 28. Inserting the post may include inserting the retainer of the post through the  
15 first portion of the slot at 112. Additionally, or alternatively, inserting the retaining element and the bias element may include inserting the second end of the bias element into the retaining element at 132, placing the first end of the bias element against the post at 134, inserting the retaining element into the slot at 136, and/or moving the first end of the bias element into the slot at 138. Additionally, placing the first end of the bias element against  
20 the post may include placing that first end against one of the retainers. Optionally, placing the first end of the bias element may be placed directly against the pin, bypassing step 138. The steps illustrated in Figs. 10 and 11 may be performed in different sequences and in different combinations, not all steps being required for all examples.

Although the present invention has been shown and described with reference to the foregoing operational principles and preferred embodiments, those skilled in the art will find apparent that various changes in form and detail may be made without departing from the spirit and scope of the invention. The present invention is intended to embrace all such  
5 alternatives, modifications, and variances that fall within the scope of the appended claims.